

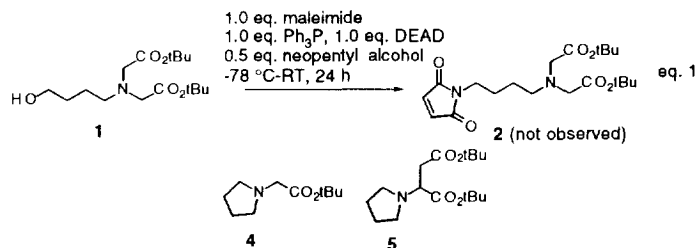
An Unusual Tandem Cyclization-Stevens Rearrangement Mediated by Ph₃P/DEAD or Bu₃P/ADDP

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Abstract: Alcohols **1** and **10c** when treated with Ph₃P/DEAD or Bu₃P/ADDP¹ yield products resulting from intramolecular cyclization to form five- and six-membered, cyclic quaternary ammonium salts (respectively) which undergo a Stevens rearrangement in the same pot. Copyright © 1996 Elsevier Science Ltd

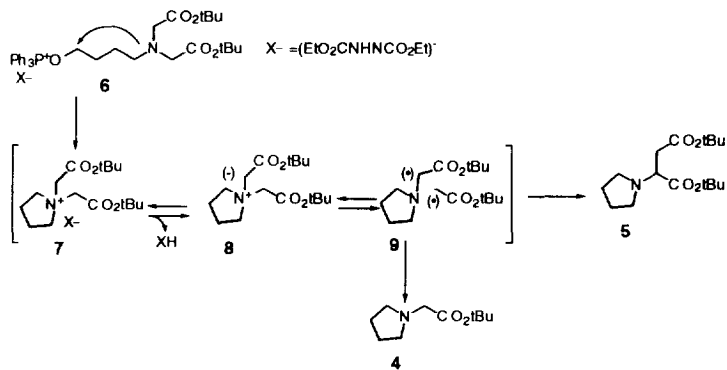
Since its discovery almost 20 years ago the Mitsunobu reaction has played an important part in synthetic chemistry.² A number of mechanistic studies have appeared in the literature³ suggesting that the efficiency of this multi-step process is sometimes lowered by competing side reactions such as elimination or nucleophilic attack at the wrong position (ie. S_N2' vs S_N2) or by the wrong nucleophile.⁴ Additionally, Ph₃P or Ph₃P•DEAD (betaine) can react with certain nucleophiles independently of the main reaction course.⁵ Therefore, we are currently examining the Mitsunobu reaction in an attempt to increase the efficiency of the process. As part of this effort this letter reports an unusual side reaction observed when a Mitsunobu reaction was attempted on **1**.⁶



When compound **1** was subjected to our modified-Mitsunobu reaction conditions⁷ we were unable to detect any of the desired product **2** (eq. 1). Our curiosity was aroused by the fact that the starting material had been completely consumed but none of the typical by-products (vide supra) were observed. Instead, the reaction produced pyrrolidines **4** and **5**, in low yield (13% and 16% respectively).

A proposed mechanism leading to both products is shown in the scheme below. The intermediate alkoxyphosphonium salt (**6**) undergoes a rapid cyclization reaction to form a 5-membered ring⁸ (**7**). Although the imino nitrogen is a weak nucleophile⁹ due to steric hindrance and the presence of two electron

withdrawing substituents the driving force for this reaction is the formation of a five membered ring.¹⁰ This is followed by deprotonation with the mono-anion of H•DEAD (cf. X⁻ in the scheme) perhaps, acting as the base. This sets the stage for a Stevens rearrangement¹¹ to give 5. The same mechanism delivers 4 via separation and hydrogen abstraction of the putative radical pair 9 before the rearrangement can be completed.



It was found that Ph₃P/DEAD,¹² without maleimide or neopentyl alcohol present, was able to deliver 5 in moderate yield (cf. entries 1-4 in the table).¹³ Compound 4 was not observed when maleimide was absent. The yield was progressively increased as the amount of reagent was increased but leveled off at 57% using ≥1.5 equivalents of Ph₃P/DEAD. Bu₃P/ADDP (entries 5-7) was better at directing the cyclization-Stevens rearrangement reaction providing 5 in up to 77% yield.

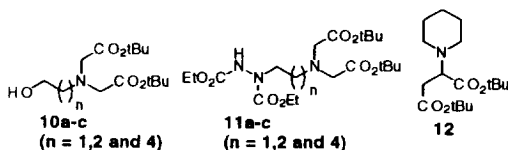
Table. Tandem Cyclization-Stevens Rearrangement of Compound 1.

entry	R ₃ P (equiv.)	DEAD/ADDP	(5) yield% ^a
1	Ph ₃ P (1.0)	DEAD (1.0)	40 ^b
2	Ph ₃ P (1.0)	DEAD (1.0)	50
3	Ph ₃ P (1.1)	DEAD (1.1)	57
4	Ph ₃ P (1.5)	DEAD (1.5)	57
5	Bu ₃ P (1.0)	ADDP (1.0)	60
6	Bu ₃ P (1.5)	ADDP (1.5)	72
7	Bu ₃ P (2.2)	ADDP (2.2)	77

^aIsolated yield. ^bBenzene was used as solvent

The scope of this reaction is still under investigation. Substrates 10a-c (n = 1, 2 and 4) have been examined using Ph₃P/DEAD and Bu₃P/ADDP. In brief, 11a-c were produced when Ph₃P/DEAD was used

while Bu₃P/ADDP effected no reaction at all. The exception to this was that **12**¹⁴ was obtained (from **10c**) in modest yield (27%) using Bu₃P/ADDP. Evidently, the proximity of the hydroxyl group to the nitrogen in the starting material determines if the tandem cyclization Stevens rearrangement will occur. Thus, entry into the cascade appears to be correlated to the cyclization rate with 6-membered ring formation being the lower limit.^{15,16} In the absence of this step, the reactive alkoxy-triphenylphosphonium salt is attacked by EtCO₂NHN⁽⁻⁾CO₂Et to give **11a-c**. The alkoxy-tributylphosphonium salt produced by Bu₃P/ADDP is less reactive, which fortunately precludes reaction with the analogous monoanion of H•ADDP, but the cyclization reaction is inhibited for **10a** and **10b** (n = 1 and 2 respectively). The conclusion, to date, is that Bu₃P/ADDP is better suited than Ph₃P/DEAD for this reaction sequence and is capable of forming both 5-membered and 6-membered rings without by-products.



In conclusion, we have presented a novel reaction mediated by Ph₃P/DEAD and Bu₃P/ADDP. In contrast to the Mitsunobu reaction, in which the hydrogen of a Brønsted acid is replaced with an alkyl group, the above reaction involves the exchange of alkyl groups on a tertiary nitrogen. The above Stevens rearrangement is interesting in that ammonium salt formation and rearrangement are carried out in one pot. More importantly, this rearrangement is accomplished under essentially neutral conditions, in contrast to the literature version which is carried out under basic conditions.¹⁷

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- Abbreviations: ADDP; 1,1'-(azodicarbonyl)dipiperidine, DEAD; diethyl azodicarboxylate.
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 13. Typical procedure: Amino alcohol **1** (1.0g, 3.15 mmole) was placed in a 100 mL shlenk flask and dissolved in 30 mL of THF under N₂. The solution was cooled to -78 °C. and Ph₃P (1.24g, 4.73 mmole) added followed by DEAD (0.75 mL, 4.73 mmole). After 5.0 min the cooling bath was removed and the reaction stirred overnight. The resulting clear solution was concentrated under vacuum and purified by flash chromatography (4.8x10 cm SiO₂, 5:1 Hex/EtOAc) to give **5** as an oil (540 mg, 57% isolated yield). ¹H NMR (300 MHz) δ 1.14 (s, 9), 1.18 (s, 9), 1.45 (m, 4), 2.2-2.5 (overlapping m, 6), 3.32 (dd, *J* = 6.1, 9.1). ¹³C NMR (75 MHz) δ 23.53, 27.83, 27.97, 37.23, 49.42, 61.58, 80.25, 80.82, 170.42. ESI-MS calcd for C₁₆H₂₉NO₄; (MH⁺) 300.2. Found; 300.4
 14. Data for compound **12**: ¹H NMR (300 MHz) δ 1.41 (s, 9), 1.46 (s, 9), 1.25-1.56 (m, 6), 2.45 (m, 3), 2.69 (m, 3), 3.52 (dd, 1, *J* = 7.2, 8.2). ¹³C NMR (75 MHz) δ 24.49, 26.47, 27.96, 28.21, 36.11, 50.77, 65.08, 80.18, 80.95, 170.05, 170.72. ESI-MS calcd for C₁₇H₃₁NO₄; 314.2 (MH⁺). Found: 314.2.
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